

Financial Adviser Profile



Overview

David Dooley has over 15 years' experience in Financial Planning. David has completed a Bachelor of Arts degree from the University of Melbourne (Psychology) and completed his Diploma of Financial Services (Financial Planning).

David Dooley is a Sub-Authorised Representative of Mint Advisory Pty Ltd, Corporate Authorised Representative No. 1294345. Authorised Representative No. 245901.

Qualifications

David Dooley holds a Diploma of Financial Planning and meets the competency requirements under ASIC's Regulatory Guide RG 146.

Professional Memberships

David Dooley is a member of FINSIA and abides by their code of professional conduct and ethics.

Authorisations

David Dooley is authorised to provide advice and deal in the following financial products:

- Life Products including Investment Life Insurance Products & Life Risk Insurance Products;
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services;
- Deposit & Payment Products;
- Retirement Savings Accounts ("RSA") products;
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government; and
- Superannuation



David Dooley

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Mint Advisory Advice Fees and Charges

David Dooley will be paid by Financial Planning Advice Fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you during your initial meeting.

David Dooley hourly rate for Financial Services is \$440 incl. GST and you will be notified of the time involved prior to the commencement of any work if applicable.

David Dooley fee for the preparation of a Statement of Advice and other Advice documents will vary depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work.

David Dooley provides the option of ongoing reporting and advisory services. This fee is a fixed dollar fee and/or 1.1% p.a. of the value of your holding incl. GST. You will be notified of the cost involved prior to the commencement of any ongoing services.

Mint Advisory pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. David Dooley is a Director of Mint Advisory PTY LTD and will receive a salary/benefit from this company.

Other Benefits David May Receive

From time to time David Dooley may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than \$300. A register listing the details of any non-cash benefits between \$100 and \$300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.

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This Adviser Profile has been authorised for distribution by Capstone Financial Planning Pty Ltd. AFSL No. 223135. This Adviser Profile forms part of the Financial Services Guide (FSG) and is to be read in conjunction with the FSG.